

Structural Dependence of Thermodynamics of Alkene Binding to Yttrium Alkyl Complexes and of Kinetics of Alkyl Migration to Coordinated Alkenes

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Abstract: Agostic interactions in yttrium alkyls are structure dependent. Primary alkyl yttrium complexes have β -CH₂ agostic interactions at low temperature, but a shift toward α -agostic interactions occurs on warming. For the more crowded β -disubstituted yttrium alkyls, an α -CH₂ agostic interaction is seen. The thermodynamics of alkene binding to the primary alkyl yttrium complex Cp*2YCH2CH2CH(CH3)2 (2) depend strongly on the structure of the alkene. A single allylic substituent on the alkene has a small effect on alkene binding, but a second allylic substituent has a large destabilizing effect. Propene binding to yttrium alkyls is largely independent of the nature of the alkyl ligand. Equilibrium constants for propene binding to n-, y-substituted, β-substituted, and secondary alkyl yttrium complexes are similar. The rate of migration of an alkyl group to a coordinated alkene depends strongly on the structure of the alkyl group: n-alkyl \approx γ -substituted $\gg \beta$ -substituted $\gg \alpha$ -substituted. The \sim 200-fold slower insertion of propene into Cp*₂YCH₂- $CH(CH_3)_2$ (6) than that into $Cp_2^*YCH_2CH_2CH(CH_3)_2$ (2) is therefore due to kinetically slow migration of the β -disubstituted alkyl group of **6** and not to differences in the equilibrium binding of propene. Processes related to chain transfer and site epimerization at the metal center are also reported.

Groups 3 and 4 metallocene complexes are thought of as soluble, single-site analogues of heterogeneous Ziegler-Natta alkene polymerization catalysts.1 In contrast to heterogeneous catalysts, metallocenes produce polymers that have narrow, tunable molecular weight distributions and microstructures that can be controlled by simple changes in metal ligation. Furthermore, metallocenes are superior to conventional catalysts in their ability to incorporate longer 1-alkenes into polymers during copolymerization of higher 1-alkenes with ethylene or propene.² Because polymer properties are altered by the degree of comonomer incorporation, control over copolymerization is highly desirable.³

The generally accepted mechanism of metallocene-catalyzed alkene polymerization involves chain growth via alkene insertion into a metal alkyl bond. This is proposed to occur by a twostep process involving initial alkene coordination to a transition metal alkyl fragment to form a metal-alkyl-alkene complex,^{4,5} followed by alkyl migration to the coordinated alkene monomer. In this paper, we will use the term "alkene insertion" to describe the net result of the two-step process. Quantitative assessments of the effects of the metal-alkyl and alkene substituents on the equilibrium constants for formation of metal-alkyl-alkene complexes and on the rates of alkyl migration to the coordinated alkene are necessary to fully understand and ultimately control degrees of comonomer incorporation in metallocene-catalyzed alkene copolymerizations.6

Here we report our studies of d⁰-yttrium alkyl complexes as models for intermediates in metallocene-catalyzed alkene po-

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lymerization. We have found that substituents on the metal alkyl fragment control whether α - or β -agostic interactions are seen in the yttrium alkyls. Substituents on the yttrium alkyl have little affect on the ability of the yttrium alkyl to coordinate propene, but substituents on the alkene are crucial in controlling the equilibrium constant for alkene coordination. Substituents on both the alkyl and the alkene units of yttrium-alkyl-alkene complexes exert major influence on the rates of alkyl migration to the coordinated alkene. We have also studied processes related to chain transfer and stereochemical inversion at the metal center that are known to affect the properties of the polymer by lowering molecular weights or changing polymer microstructure.

Agostic Interactions in Yttrium Alkyl Complexes. In a paper describing our detailed mechanistic studies of the formation of yttrium alkyls from alkenes and $(Cp*_2YH)_2$,⁷ we suggested that yttrocene alkyl complexes adopt ground-state structures stabilized by agostic interactions. Agostic interactions have been shown to be important for the stabilization of groundand transition-state structures of d⁰-metal alkyls during their catalysis of alkene polymerization.^{8,9} Agostic interactions are characterized by low energy C-H vibrations and decreased C-H coupling constants.10 Significant changes in chemical shift upon partial deuteration also provide evidence for agostic interactions because C-H bonds form stronger agostic interactions than C-D bonds. Recently, we suggested that the Y-C (⁸⁹Y, 100%, $I = \frac{1}{2}$) coupling constants in Cp*₂YR complexes are diagnostic for the type of agostic interaction: Y-C coupling constants of <40 Hz are indicative of β -agostic interactions, while Y–C coupling constants of >45 Hz are seen for α -, γ -, or perhaps no agostic interaction. Here we present direct evidence for the types of agostic interactions in a range of yttrium alkyls.

Cp*₂YCH₂CH₂R Have β-Agostic Interactions at Low Temperature. Cp*₂YCH₂CH₂CH₂CH(CH₃)CH₂CH₃ (1) was prepared by reaction of (Cp*₂YH)₂ with 3-methyl-1-pentene at -50 °C in methylcyclohexane- d_{14} (Scheme 1). On the basis of the observations of a small $J_{YC} = 36$ Hz and of a lower frequency chemical shift for the β-protons (δ -0.07, -0.20) relative to the α-protons (δ 0.25), **1** is proposed to be stabilized by a β-agostic interaction. The larger than expected $J_{\alpha-CH} = 124$ Hz and the smaller than expected $J_{\beta-CH} = 110$ Hz support the presence of a β-agostic interaction (Table 1).¹¹

To better determine the coupling constants expected for a nonagostic complex, **1** was treated with THF to quantitatively form the THF complex (**1-THF**). Because coordination of THF is known to disrupt agostic interactions,¹² the NMR of **1-THF**

(11) Typically, $J_{a-CH} \approx 110$ Hz and $J_{\beta-CH} \approx 125$ Hz for a nonagostic alkyl. (12) (a) Guo, Z.; Swenson, D. C.; Jordan, R. F. Organometallics **1994**, 13, 1424.

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Table 1. Selected NMR Characteristics of Cp^*_2YR Complexes at $-90\ ^\circ\text{C}$

compound	J _{YC} (Hz)	$J_{\mathrm{\alpha-CH}}$ (Hz)	$J_{eta- ext{CH}}$ (Hz)	δ_{lpha} (ppm)	δ_{eta} (ppm)
1	36	124	110	0.25	-0.07 - 0.20
$1-d_{\beta}$				0.25	-0.27, -0.42
1-THF	53	107	124	-0.65, -0.51	1.29, ~1.86
2	36	124	108	0.22	-0.16
$2-d_{\beta}$				0.22	-0.36
3	38			0.25	0.03
4	39	119	119	1.00	0.37 syn, 1.80 anti
$4-d_{\beta}$				0.97	0.07 syn, 1.77 anti
5	36			0.66	0.30 (CH ₃),
					1.70, 1.78 (CH ₂)
6	51	106	121	-0.08	1.89
$6-d_{\alpha}$				-0.22	1.88
6-THF				-0.54	1.71
6-THF- d_{α}				-0.58	~ 1.7

Scheme 1



reveals the characteristics of a nonagostic complex. Coordination of THF in **1-THF** causes an inversion of the relative chemical shifts of the α - and β -proton as compared with those of **1**. In **1-THF**, the β -protons (δ 1.29, ~1.86) move to higher frequency than the α -protons (δ -0.51, -0.65), indicating that the agostic interaction has been lost. The $J_{\beta-CH} = 124$ Hz of **1-THF** is consistent with a normal sp³ C–H bond, and the $J_{\alpha-CH} = 107$ Hz is consistent with an sp³ carbon bound to an electropositive element.¹³

Comparison of the NMR characteristics of 1 (β -agostic) and **1-THF** (nonagostic) shows that the β -agostic interaction increases $J_{\alpha-CH}$, decreases $J_{\beta-CH}$, and decreases J_{YC} . These changes can be explained given that the $Y-C_{\alpha}-C_{\beta}$ angle must decrease to accommodate an agostic interaction. The smaller $Y-C_{\alpha}-C_{\beta}$ angle will decrease the s-character in the $Y-C_{\alpha}$ bond, thus increasing the s-character in the α -CH bonds. The increase in $J_{\alpha-CH}$ reflects this increase in s-character in the α -CH bonds, and the smaller J_{YC} is expected for a decrease in the s-character of the Y-C bond.¹⁴ The decrease in J_{β} -CH, although modest, is diagnostic of an agostic interaction.

Confirmation of the presence (or absence) of an agostic interaction was sought by partial deuteration of the β -position of the alkyl group of **1**. Isotopic perturbation of the chemical shift (IP) is expected upon partial deuteration of any position involved in an agostic interaction because of the preference for deuterium to concentrate in the stronger nonagostic bond than in the weaker agostic bond.¹⁰ Specifically, if there is a β -agostic interaction, monodeuteration of the β -position will shift the β -hydrogen ¹H NMR resonance to lower frequency than the resonance in its all protio analogue.

Reaction of $(Cp^*_2YD)_2^{15}$ with 3-methyl-1-pentene at -50 °C produced a 1:1 mixture of syn- and anti-isomers of $Cp^*_2YCH_2$ -CHDCH(CH₃)CH₂CH₃ (**1-** d_β) (Scheme 2). At -100 °C, the β -CHD ¹H NMR chemical shift for *syn*-**1-** d_β is δ -0.27, and for *anti*-**1-** d_β it is δ -0.42. For comparison, the chemical shift

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Figure 1. Chemical shifts (δ) of the β -H of 2 (\blacksquare) and 2- d_{β} (\Box) as a function of temperature.



for the *syn-\beta*-CH of **1** is -0.07, and it is -0.20 for the *anti-\beta*-CH. The significant IPs of -0.20 ppm for the syn position and -0.22 ppm for the anti position provide convincing evidence for the proposed β -agostic interaction.

For the similar compound Cp*₂YCH₂CH₂CH(CH₃)₂ (2), evidence for a β -agostic ground state is provided by the observation of a small $J_{YC} = 36$ Hz and of a low-frequency (δ -0.16) resonance for the β -hydrogens. Further evidence for a β -agostic interaction was obtained from the NMR spectrum of the monodeuterated analogue Cp*₂YCH₂CHDCH(CH₃)₂ (2 d_{β}).¹⁶ The ¹H NMR resonance of the β -hydrogen of 2- d_{β} (δ -0.36 at -90 °C) is shifted to lower frequency ($\Delta \delta_{IP} = -0.20$ ppm) as compared with that of 2 ($\delta = -0.16$), consistent with the presence of a β -agostic interaction.

Like 1 and 2, the straight-chain alkyl complex Cp*₂-YCH₂(CH₂)₄CH₃ (3) has a $J_{\rm YC} < 40$ and β -hydrogens (δ 0.03) that resonate at lower frequency than the α -hydrogens (δ 0.25). Therefore, it is likely that 3 has a β -agostic interaction at low temperature.

 β -Agostic Interactions in Cp*₂YCH₂CH₂R Decrease at Higher Temperature. Interestingly, the ¹H NMR chemical shifts of the β -protons of **2** and **2**- d_{β} are temperature dependent (Figure 1). Below -80 °C, the chemical shifts of the β -protons of 2 change very little (<0.01 ppm) with temperature, but as the temperature is raised above -80 °C, the resonances move to higher frequencies, while other resonances in the molecules move very little. For example, the resonance observed in the ¹H NMR spectrum of **2** at $\delta - 0.17$ at -100 °C shifts to $\delta 0.05$ at 5 °C. Furthermore, the chemical shifts of the β -protons of 2 and **2-** d_{β} are the same above 10 °C; the lack of isotopic perturbation of the chemical shifts indicates that there is no β -agostic interaction at this temperature. These data suggest that the β -agostic complex is in equilibrium with an α -agostic (or perhaps nonagostic) complex, with the less-ordered α -agostic complex favored at higher temperatures (Scheme 3).¹⁷



Cp*₂YCHR₂ Complexes Have β -Agostic Interactions. Secondary alkylyttrium complexes also have β -agostic interactions, as shown by the shift of the β -hydrogen resonance of the cyclopentylyttrium complex 4 upon monodeuteration (Scheme 4). Complex **4-** d_β was prepared by reaction of (Cp*₂YD)₂ with cyclopentene at -40 °C for 40 min. The IP for deuteration of the β -position is -0.30 ppm at -100 °C. The yttrium in 4 can form an agostic interaction only with the syn protons; consequently, only the syn protons have chemical shifts at lower frequency than the α -proton. Furthermore, the β -CH coupling constant of **4** is 119 Hz, 9 Hz less than that of cyclopentane (128 Hz). Like 2, 4- d_β shows a temperature-dependent chemical shift of its β -hydrogen resonance. It moves to higher frequency as the temperature is raised (from δ 0.07 at -100 °C to δ 0.32 at -40 °C), consistent with a shift in the equilibrium away from a β -agostic complex.

The *sec*-butyl complex Cp*₂YCH(CH₃)CH₂CH₃ (**5**) also adopts a β -agostic structure, as indicated by the low-frequency resonance for the β -CH₃ protons (δ 0.30). It is noteworthy that the agostic interaction present for the *sec*-butylyttrium complex appears to be that with the methyl group rather than with the ethyl group on the basis of the observation that the methyl protons resonate at lower frequency than the α -proton (δ 0.60), but the CH₂ protons (δ 1.70, 1.78) resonate at higher frequency than the α -proton.

Cp*₂YCH₂CHR₂ Complexes Have α-Agostic Interactions. The isobutyl complex Cp*2YCH2CH(CH3)2 (6) was synthesized as a simple model for a metal center in a polypropylene polymerization catalyst. Complex 6 (Table 1) has a sterically hindered β -CH and was previously proposed to adopt an α -agostic ground state on the basis of its large $J_{\rm YC} = 51$ Hz and the much higher frequency of its β -hydrogen ¹H NMR resonance (δ 1.89) as compared with its α -hydrogen resonance $(\delta - 0.08)$. However, because the nonagostic complex 6-THF has similar NMR characteristics, these data for 6 cannot distinguish between an α -agostic interaction and no agostic interaction. To test for the presence of an α -agostic interaction, $Cp*_2YCHDCH(CH_3)_2$ (6-d_a) was prepared from the reaction of (Cp*₂YH)₂ with HDC=C(CH₃)₂. At -60 °C, a substantial isotopic perturbation was seen for the ¹H NMR chemical shift α -protons of **6** and **6**- d_{α} ($\Delta \delta_{\rm IP} = -0.14$ ppm, from $\delta - 0.08$ for **6** to -0.22 for **6**-*d*_{α}). This convincingly establishes the presence of an α -agostic interaction in **6**. Notably, the chemical shift of the α -proton changes by less than 0.05 ppm upon warming the solution from -90 to -25 °C, indicating that the α -agostic interaction is maintained throughout the temperature range. It is interesting that introduction of a second β -alkyl substituent causes a shift from β -agostic alkylyttrium complexes to α -agostic β -disubstituted alkylyttrium complexes.

⁽¹⁶⁾ $2-d_{\beta}$ was synthesized by the reaction of (Cp*₂YD)₂ with 3-methyl-1-butene at -50 °C.

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Table 1 summarizes the observation that compounds with β -agostic interactions share three characteristics: (1) β -H resonances appear upfield of α -H resonances, (2) J_{YC} is less than 40 Hz, and (3) C-H coupling constants for β -hydrogens are reduced by ~ 10 Hz. The presence of an α -agostic interaction is less obvious than a β -agostic interaction because the coupling constants of an α -agostic complex are the same as those for compounds without any agostic interactions. In these cases, isotopic perturbation of the α -CH resonance provides evidence for an α -agostic interaction. The data further demonstrate that yttrium-alkyl complexes prefer β -agostic interactions if that position is not too sterically congested; sterically crowded complexes prefer α -agostic interactions. Because the alkyl chains of α -agostic complexes have more freedom of motion than those of β -agostic complexes, entropy favors an α -agostic over a β -agostic interaction. Consequently, the equilibrium shifts toward α -agostic complexes as the temperature is raised.

Insertion Chemistry. Having established the ground-state structures of the yttrium alkyls, we next addressed the factors that influence the insertion of alkenes into yttrium alkyl bonds. Watson has studied the insertion of propene into the metal alkyl bond of $Cp*_2LuMe(L)$ [L = THF, Et₂O, or AlMe₃] as a model for alkene insertion in Ziegler–Natta olefin polymerization,¹⁸ but these studies were complicated by the presence of coordinating ligands and an inverse dependence of the rates on ligand concentration. Our studies of insertion of alkenes into yttrium alkyl bonds in the absence of coordinating ligands other than alkenes provide a clearer and more detailed picture of the insertion step in alkene polymerization.

Effect of Alkene Structure on Insertion Rates. The reaction of $(Cp_{2}^{*}2YH)_{2}$ with 3-methyl-1-butene in a 1:1 mixture of methylcyclohexane- d_{14} and pentane- d_{12} proceeded smoothly at -60 °C to give the monoinsertion product $Cp_{2}^{*}YCH_{2}CH_{2}CH_{2}(CH_{3})_{2}$ (2) in quantitative yield based on the $CH_{2}(SiMe_{3})_{2}$ internal standard.⁷ While excess 3-methyl-1-butene does not further insert into 2, less sterically constrained *straight-chain* 1-alkenes insert into the yttrium alkyl bond of 2 at -100 °C over several hours. We have previously determined that the rate law for the reaction of 2 with propene is first-order in both 2 and propene.^{5a} To probe the effect of alkene chain length on the rate of insertion into $Cp_{2}^{*}YR$, we have now compared the rates of insertion of propene, 1-butene, and 1-hexene with 2 (Scheme 5).

When the reaction of **2** with 1-butene at -100 °C was followed by ¹H NMR spectroscopy, the resonances [δ 1.89 (Cp*), 0.27 (YCH₂), -0.17 (YCH₂CH₂)] characteristic of **2** decreased with a concomitant growth of a Cp* resonance at δ 1.92 and alkyl resonances at δ 0.64 (CH₂CH₃) and -0.38(YCH₂),¹⁹ assigned to Cp*₂YCH₂CH(Et)CH₂CH₂CH(CH₃)₂ (**7b**). Over several hours, the monoinsertion product **7b** was

 Table 2.
 Rate Constants for Insertion of Alkenes into the Y–C

 Bonds of Yttrium Alkyls
 Provide the Second Second

$Cp_{2}^{*}YR$	alkene	<i>k</i> ₂ (M ⁻¹ s ⁻¹)	<i>T</i> (°C)
2	ethylene	rapid	-130
2	propene	15×10^{-4}	-100
2	1-butene	9.2×10^{-4}	-100
2	1-hexene	6.5×10^{-4}	-100
2	3-methyl-1-butene	no insertion	22
14-off	propene	$15(2) \times 10^{-4}$	-100
6	propene	${\sim}0.08 imes10^{-4}$	-100
6	ethylene	rapid	-130
9	propene	$20(5) \times 10^{-4}$	-103
3	propene	$18(2) \times 10^{-4}$	-103
2	propene	$8(2) \times 10^{-4}$	-103
3	3-methyl-1-butene	decomposition	0

Scheme 6



formed quantitatively; further insertion of 1-butene was not observed. Similarly, reaction of **2** with 1-hexene at -100 °C resulted in quantitative formation of the monoinsertion product Cp*₂YCH₂CH(Bu)CH₂CH₂CH(CH₃)₂ (**7c**).

The kinetics of propene, 1-butene, and 1-hexene insertions into the Y–C bond of **2** were monitored under pseudo-firstorder conditions of excess alkene at -100 °C (Table 2). The observed rate constant for the reaction of propene (0.12 M) with **2** (0.01 M) was 1.8×10^{-4} s⁻¹ ($t_{1/2} = 64$ min); the secondorder rate constant for insertion is $k_2 = 15 \times 10^{-4}$ M⁻¹ s⁻¹. Similar kinetic studies gave second-order rate constants for 1-butene and 1-hexene insertions (Table 2). The length of the alkene alkyl chain has only a small effect on the rate of insertion: propene reacts 1.6 times faster than 1-butene and 2.3 times faster than 1-hexene.

The second allylic substituent of 3-methyl-1-butene greatly retards the rate of insertion into **2**. No insertion of 3-methyl-1-butene (0.03 M) into the yttrium alkyl bond of **2** was observed up to 0 °C, where **2** reacted with 3-methyl-1-butene by C–H activation of a vinylic hydrogen (Scheme 6).²⁰ The rate of this C–H activation reaction ($85 \times 10^{-3} \text{ M}^{-1} \text{ s}^{-1}$ at 22 °C) represents an upper limit on the rate of 3-methyl-1-butene *insertion* into the yttrium–carbon bond of **2**. The large barrier for insertion ($\Delta G^{\ddagger} > 18.7 \text{ kcal mol}^{-1}$) suggests that a second allylic substituent on an alkene greatly decreases the rate of alkene insertion.

When a solution of **2** was placed under ~ 0.2 atm of ethylene at -130 °C, ethylene was rapidly consumed to produce a white polymeric precipitate. Because polymerization was much faster than mass transport of ethylene into solution, it was not possible to estimate either the rate of initiation or the rate of propagation. These rates are expected to be similar.

Effect of Yttrium Alkyl Structure on Insertion Rates. The single-insertion products 7 are the only observed products of the reaction of 2 with 1-alkenes, indicating that the product β -disubstituted yttrium-alkyls 7 are less reactive than the starting γ -substituted yttrium alkyl 2. To gain insight into this reactivity difference, the model β -disubstituted yttrium-alkyl complex

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⁽¹⁹⁾ The other resonances are obscured by the pentane- d_{12} and methylcyclohexane- d_{14} solvent mixture.

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 $Cp*_2YCH_2CH(CH_3)_2$ (6) was synthesized from the reaction of 2-methylpropene and $(Cp*_2YH)_2$ at -60 °C. In the presence of excess 2-methylpropene, no further insertion to form Cp*2-YCH₂C(CH₃)₂CH₂CH(CH₃)₂ was observed up to 0 °C, where 6 reacts to form the allyl complex $Cp*_2Y[\eta^3-CH_2C(CH_3)CH_2]^{20}$

To quantitatively compare the reactivity of 6 and 2 toward propene, the kinetics of the reaction of 6 with excess propene (3.0 M) were measured at -100 °C. ¹H NMR spectroscopy showed that the proton resonances of 6 slowly decreased and resonances grew in at δ 1.89 (Cp*), 0.30 (CH₃), and -0.25 $(\alpha$ -CH₂). These resonances are tentatively assigned to the new vttrium alkvl complex Cp*2YCH2CH(CH3)CH2CH(CH3)2 (8) (Scheme 7).²¹ At longer reaction times, other products appeared which are presumably higher oligomers. Because of their similar structures, 6 and 8 are expected to react with propene at similar rates. Additionally, at long reaction times, decomposition of 6 is observed, so the kinetics of propene insertion were followed only through the first half-life. The initial rate of disappearance of 6 corresponds to an observed rate constant of 2.4 \times 10⁻⁵ s^{-1} ($t_{1/2} = 8$ h), giving the second-order rate constant $k_2 = 8 \times 10^{-1}$ 10^{-6} M⁻¹ s⁻¹.²² This rate constant for propene insertion into **6** is almost 200 times smaller than the rate constant for propene insertion into 2, showing that β -disubstitution of the metal alkyl greatly retards the rate of migratory insertion.

Ethylene was rapidly polymerized by 6 at -130 °C, as indicated by the immediate precipitation of a white polymer. Because both 2 and 6 react too rapidly with ethylene to measure kinetics, we were not able to determine whether the β -disubstitution of $Cp*_2YCH_2CH(CH_3)_2$ (6) decreases the rate of reaction with ethylene.

To probe the rates of insertion of propene into *n*-alkylyttrium complexes, we investigated the reaction of Cp*2YCH2CH2CH2- CH_3 (9) and $Cp*_2YCH_2(CH_2)_4CH_3$ (3) with propene. *n*-Butyl complex 9 cannot be prepared from $(Cp*_2YH)_2$ and 1-butene because of rapid insertion of 1-butene into 9. Instead, 9 was prepared in 70–80% yield by treating $(Cp*_2YH)_2$ with *cis*- or *trans*-2-butene at -50 °C; the initially formed 2-butyl complex 5 rearranges to 9 at this temperature. The remaining 20-30%of the yttrium material is the allyl complex $Cp_2^*Y(\eta^3-CH_2-$ CHCHCH₃).²⁰ When a solution of **9** and propene was warmed from -130 to -103 °C, the propene insertion product Cp*2-YCH₂CH(CH₃)(CH₂)₃CH₃ (10) was formed. The second-order rate constant for production of **10** from **9** is $20(5) \times 10^{-4} \text{ M}^{-1}$ s^{-1} . Similarly, *n*-hexyl complex **3** was prepared from the reaction of *trans*-3-hexene with $(Cp*_2YH)_2$ at -30 °C for 5-10 min; this reaction proceeds more smoothly than the reaction with





2-butene, and only 1-5% of allyl product $Cp_{2}^{*}Y(\eta^{3}-CH_{2}-$ CHCHBu) is formed. Propene (0.22 M) inserts into the Y-C bond of **3** at -103 °C over several hours ($t_{1/2} = 30$ min) producing Cp*2YCH2CH(CH3)(CH2)5CH3 (11). The secondorder rate constant for the insertion is $18(2) \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$. Insertion of propene into the γ -substituted complex 2 under identical conditions occurs with a second-order rate of $8(2) \times$ 10^{-4} M⁻¹ s⁻¹, indicating that branching at the γ -position of a metal alkyl slows insertion only about 2-fold.

Alkenes with two or three allylic substituents such as 3-methyl-1-butene and 3,3-dimethyl-1-butene failed to react with 3 or 9 at -40 °C. Complex 3 decomposes in the presence of 3-methyl-1-butene (0.1 M) at 0 °C at an approximate rate of 2 \times 10⁻³ M⁻¹ s⁻¹; this places a lower limit on the barrier for insertion of $\Delta G^{\ddagger} \geq 19$ kcal mol⁻¹. This provides another dramatic example that two or three allylic substituents greatly decrease the rate of alkene insertion into carbon-yttrium bonds.

Reaction of Yttrium-Alkyl-Alkene Chelates with Propene. It has been suggested that alkene insertion can be triggered by the coordination of a second alkene to a metal-alkyl-alkene complex.²³ This "trigger model" has been suggested^{1b} to account for the observed rate law for propene polymerization by Cp₂-ZrMe₂/MAO and the greater than first-order dependence on [propene].^{1,3}

We have reported a series of neutral d⁰-yttrium-alkyl-alkene chelates as models for intermediates in Ziegler-Natta alkene polymerization.^{5b-f} The kinetics of propene insertion into chelated metal-alkyl-alkene complexes should allow us to determine whether insertion can occur through a metal alkyl that has two alkenes coordinated (Scheme 8).

 $Cp*_2Y[\eta^1,\eta^2-CH_2CH_2CH(CH_3)CH=CH_2]$ (12) and $Cp*_2Y [\eta^1, \eta^2$ -CH₂CH₂CH₂CH=CH₂] (13)²⁴ exist solely as the alkenebound chelates at all accessible temperatures. There is no reaction of propene (0.3-0.4 M) with either 12 or 13 below -60 °C. This suggests that insertion of propene cannot occur unless the chelated alkene dissociates. The equilibrium constant for alkene dissociation from 13 can be estimated as 4.3×10^{-3} at -60 °C;²⁵ thus the amount of yttrium alkyl available for reaction with propene is 1 part in 230. The expected first-order rate constant for reaction of propene (0.3 M) with 2 at -60 °C is 9×10^{-3} s⁻¹.²⁶ Consequently, the reaction of **13** with propene might be expected to have a rate constant of (1/230) \times 9 \times $10^{-3} \text{ s}^{-1} = 4 \times 10^{-5} \text{ s}^{-1}$ ($t_{1/2} \approx 5 \text{ h}$). The failure of **13** to react with propene below -60 °C is consistent with the expected rate of propene reaction with 13 only if the chelated alkene must first dissociate.

⁽²¹⁾ The tentative structural assignment of 8 is based on precedent of related reactions. Because the reaction of 6 with propene was used to obtain an upper limit for the rate of propene insertion, rate comparisons do not depend on the accuracy of the structural assignment of 8.

⁽²²⁾ In addition to alkene insertion, some decomposition was observed, so the rate constant given represents an upper limit of the rate for propene insertion.

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⁽²⁴⁾ As shown by isotopic scrambling, 13 reversibly inserts the coordinated alkene at -78 °C to form a strained cyclobutylmethyl compound. The rate of this reversible insertion is much slower ($\Delta G^{\ddagger} = 14.4 \text{ kcal mol}^{-1}$) than insertion of propene into the alkyl yttrium complex 2.^{5d} (25) Using $\Delta H^{\circ} = 4$ kcal mol⁻¹ and $\Delta S^{\circ} = 8$ eu.^{5b}

⁽²⁶⁾ The activation parameters for the reaction of propene with 2 are $\Delta H^{\ddagger} = 5.9(6)$ kcal mol⁻¹ and $\Delta S^{\ddagger} = -37(8)$ eu.

Scheme 9 Cp^* Cp^* Cp^* 14-on Cp^* Cp^*

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On the other hand, $Cp*_2Y[CH_2CH_2CH_2C(CH_3)=CH_2]$ (14), which exists as a 5:1 equilibrium mixture of alkene-coordinated $Cp*_2Y[\eta^1,\eta^2-CH_2CH_2CH_2C(CH_3)=CH_2]$ (14-on) and uncomplexed $Cp_{2}^{*}Y[\eta^{1}-CH_{2}CH_{2}CH_{2}C(CH_{3})=CH_{2}]$ (14-off) at -100 °C, readily reacts with propene (Scheme 9). At -100 °C, the reaction of 14 with propene (0.33 M) is 5–6 times slower (k_{obs} $= 8.9(7) \times 10^{-4} \text{ s}^{-1}, t_{1/2} = 130 \text{ min}$) than the reaction of 2 with propene ($t_{1/2} = 23 \text{ min}$) under identical conditions. Previous work has shown that the equilibrium constant between 14-on and **14-off** (K_{eq}) is 0.22 and equilibrium is rapidly maintained at -100 °C. A rapid preequilibrium treatment of the mechanism in Scheme 9 gives rate eq 1 for the disappearance of 14. Using this equation, we determined the second-order rate constant for insertion, $k_2 = 15 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$, using the known values of K_{eq} and [propene]. This rate constant for insertion of propene into 14-off is (coincidently) the same value as that measured for propene insertion into Cp*₂YCH₂CH₂CH_{(CH₃)₂ (2). At least} for these chelates, the "trigger" model for alkene insertion is inoperative.

$$\frac{-d[14]}{dt} = \frac{k_2 K_{eq}[14][\text{propens}]}{1 + K_{eq}}$$
(1)

Equilibrium Constants for Alkene Binding to Yttrium Alkyls and Kinetic Barriers for Alkyl Migration to a Coordinated Alkene. Having determined the overall rates of alkene insertion into a variety of yttrium alkyls, we wanted to understand the observed differences in reactivity. Why does propene react with Cp*₂YCH₂CH(CH₃)₂ (6) about 200 times more slowly than with $Cp*_2YCH_2CH_2CH(CH_3)_2$ (2)? Is equilibrium binding of propene to 6 much weaker than to 2, or is the rate of alkyl migration to coordinated alkene much slower? We have shown that the equilibrium for propene binding to an yttrium alkyl can be measured and used to determine the firstorder rate constant for alkyl migration to a bound alkene.^{5a} Here, we look more closely at alkene binding to a variety of yttrium alkyls, so that the rate constants for alkene insertion (k_2) can be deconvoluted into equilibrium (K_{eq}) and kinetic (k_{mig}) components (Scheme 10). The first step in this process is to measure equilibria for alkene binding to yttrium alkyls.

Dependence of Alkene Complexation Equilibrium on Alkene Structure. The binding of propene, 1-butene, and 1-hexene to Cp*₂YCH₂CH₂CH₂CH(CH₃)₂ (**2**) was investigated to determine the effect of alkene chain length on binding energy. The barriers to propene coordination and dissociation from **2** have been estimated at 5 kcal mol⁻¹. This means that free and coordinated alkenes are in rapid equilibrium at all accessible temperatures. Thus, the observed chemical shifts are the weighted mean of free and bound alkene. The separation of the chemical shifts ($\Delta \delta = \delta H_Z - \delta H_E$) of the *E*- and *Z*-terminal protons of 1-alkenes is a sensitive probe for alkene binding to

Table 3. Thermodynamics of Alkene Coordination to Yttrium Alkyls and Kinetic Barriers for Alkyl Migration to Coordinated Alkenes

Cp_2^*YR	alkene	$\Delta G_{-100~^\circ\mathrm{C}}$	ΔH°	ΔS°	ΔG_2^{\ddagger}	$\Delta {G_{\rm mig}}^{\sharp}$
2	propene	0.7(6)	-4.5(3)	-30(2)	12.2	11.5
2	1-butene	1.3(6)	-3.7(2)	-29(2)	12.3	11.0
2	1-hexene	0.8(7)	-3.7(2)	-26(3)	12.5	11.7
2	3-methyl-1-butene	≥ 2	≤3.2	~ -30	>18.7	
3	propene	0.6(6)	-4.4(3)	-29(2)	11.9	11.3
6	propene	0.4(6)	-4.4(3)	-28(2)	14	13.6
4	propene	3.2(7)	-4.3(2)	-29(2)	≥18.6	>15.4

a d⁰-metal.⁵ The separation of these resonances is small for free alkenes [$\Delta \delta_{\text{free}} = 0.06(3)$ ppm], whereas alkenes bound to yttrium exhibit differences in chemical shift of 1.46(6) ppm. Thus, the mole fraction of alkene bound to yttrium can be determined from the observed separation of these resonances using eq 2, where $\Delta \delta_{\text{obs}}$ is the observed separation, and $\Delta \delta_{\text{bound}}$ is 1.46.²⁷

$$\chi_{\text{bound}} = \frac{\Delta \delta_{\text{obs}} - \Delta \delta_{\text{free}}}{\Delta \delta_{\text{bound}} - \Delta \delta_{\text{free}}}$$
(2)

Earlier we communicated the thermodynamics for propene binding to **2** as $\Delta H^{\circ} = 4.5(3)$ kcal mol⁻¹ and $\Delta S^{\circ} = -30(2)$ eu. In a solution of **2** (0.042 M) and propene (0.02 M) in a 1:1 mixture of pentane- d_{12} and methylcyclohexane- d_{14} at -90 °C, $\Delta \delta_{obs}$ is 0.09 ppm, $\Delta \delta_{free}$ is 0.087 ppm, and $\Delta \delta_{bound}$ is 1.46 ppm corresponding to >99% free propene. However, when the solution is cooled to -150 °C, $\Delta \delta_{obs}$ increases to 0.76 ppm, indicating that 49% of the propene is bound to yttrium.

Similar, but smaller, changes are observed for solutions of 1-butene or 1-hexene in the presence of **2**. For example, the $\Delta \delta_{obs}$ for 1-hexene (0.013 M) in the presence of **2** (0.025 M) increases from 0.07 ppm at -90 °C to 0.17 ppm at -143 °C, indicating 0 and 7% binding of 1-hexene to **2**. This separation was monitored as a function of temperature to obtain the temperature dependence of K_{eq} and $\Delta H^{\circ} = -3.7(2)$ kcal mol⁻¹ and $\Delta S^{\circ} = -26(3)$ eu. 1-Butene behaves similarly, giving $\Delta H^{\circ} = -3.7(2)$ kcal mol⁻¹ and $\Delta S^{\circ} = -29(2)$ eu (Table 3).

No chemical shift changes for either 2-methylpropene or 3-methyl-1-butene were induced by the presence of **2**, indicating that these sterically hindered alkenes are bound much more weakly than the more sterically accessible linear 1-alkenes. For example, $\Delta\delta$ for uncomplexed 3-methyl-1-butene (0.091 ppm) is approximately the same (0.092 ppm) as that for a solution of 3-methyl-1-butene (0.004 M) in the presence of **2** (0.030 M). Assuming $\Delta S^{\circ} = -30$ eu for alkene binding, ΔH° for 3-methyl-1-butene binding is calculated to be ≤ 3.2 kcal mol⁻¹.

Dependence of Alkene Complexation Equilibrium on Yttrium Alkyl Structure. Propene binding to 2, Cp*₂-YCH₂(CH₂)₄CH₃ (3), Cp*₂YCH₂CH(CH₃)₂ (6), and Cp*₂Y-(cyclopentyl) (4) was monitored by ¹H NMR spectroscopy. To determine whether 2 is a good model for a straight-chain alkyl complex, its binding of propene was compared to that of the *n*-hexyl complex **3**. For a solution of propene (0.01 M) containing **3** (0.05 M), $\Delta\delta$ was 0.086 ppm at -80 °C, corresponding to >99% free propene. For the same solution at -140 °C, $\Delta\delta$ was 0.39 ppm, indicating that 22% of the propene

⁽²⁷⁾ Thermodynamic determinations using separations ($\Delta \delta_{\text{bound}}$) of 1.2 or 1.6 ppm ultimately led to small variations in ΔH and ΔS of 0.1 kcal mol⁻¹ and 1 eu, respectively.



Figure 2. Chemical shifts of propene in the presence of *n*-hexylyttrium complex 3. $X = H_{int}$, $\blacksquare = H_Z$, $\Box = H_E$. Unmarked resonances are 2- and 3-hexene.



was bound to yttrium (Figure 2). Analysis of the temperature dependence of the equilibrium constant gives $\Delta H^{\circ} = -4.4(3)$ kcal mol⁻¹ and $\Delta S^{\circ} = -29(2)$ eu for binding of propene to **3**.

The isobutyl complex 6 is the simplest model of an yttrium with a growing polypropylene chain. Complex 6 inserts propene about 200 times slower than does 2, indicating that the isobutyl group is either a poor migrating group or it interferes with propene binding to yttrium. The binding of propene to isobutyl complex 6 was investigated by NMR spectroscopy at low temperatures. For a solution of propene (0.0144 M) containing **6** (0.022 M), $\Delta\delta$ was 0.12 at -118 °C and 0.42 at -143 °C, corresponding to 2 and 24% propene binding, respectively. A van't Hoff plot showed that thermodynamics of propene binding to 6 [$\Delta H^{\circ} = -4.4(3)$ kcal mol⁻¹ and $\Delta S^{\circ} = -28(2)$ eu] were similar to those for propene binding to 2. Using these thermodynamic parameters, we calculated the ratio of 6:6-propene to be 2:1 under the conditions used to monitor propene insertion into 6 at -100 °C and 3.0 M propene. The observation that the equilibrium for propene binding to 2 and 6 is similar suggests that the about 200-fold rate difference for insertion of propene is due to a high kinetic barrier for migration of the isobutyl ligand to coordinated propene (Scheme 11).

Secondary Yttrium-alkyls as Models for 2,1-Misinsertions. Secondary alkyl complexes of Zr are important in metallocenecatalyzed propene polymerization because 2,1-misinsertions of propene have been proposed to result in catalyst deactivation.¹ Propene is proposed to insert very slowly into the secondary alkyl-Zr bond. This ties the catalyst up in a dormant form, and the active form can only be regenerated by β -hydride elimination. To directly address the reactivity of secondary alkylmetal complexes toward alkenes, we sought to exploit our ability to use model yttrium complexes to monitor binding and insertion of alkenes. Our goal was to determine whether the low reactivity of secondary alkyl-metal complexes is due to poor alkene binding or kinetically slow alkyl migration to a coordinated alkene. The cyclopentyl complex 4 was prepared as a model for the product of a 2,1-misinsertion of propene. The temperature-dependent ¹H NMR spectra of propene (0.018 M) in the presence of 4 (0.056 M) were nearly identical to those of the propene in the presence of 2. The thermodynamic parameters for alkene binding are $\Delta H^{\circ} = -4.3(2)$ kcal mol⁻¹ and $\Delta S^{\circ} =$



-29(2) eu. This result shows that propene binds well to **4**; yet there was no evidence for propene insertion into the cyclopentyl bond up to -15 °C where β -hydride elimination takes place. The yttrium hydride is rapidly trapped by reaction with propene that produces Cp*₂YCH₂CH(CH₃)CH₂CH₂CH₃ (**16**). These experiments demonstrate that secondary alkyls insert alkenes slowly not because they bind alkenes too weakly, but because the secondary alkyl migrates to coordinated alkene so slowly.

As mentioned above, a regioerror in propene insertion results in a secondary alkyl-metal complex that does not undergo further propene insertion. Two chain-transfer pathways have been suggested for regeneration of the active catalyst from the secondary alkyl complex: (A) direct transfer of a β -hydrogen to a bound alkene and (B) β -hydride elimination followed by insertion of an alkene into the resulting M–H bond (Scheme 12). In principle, the two pathways can be distinguished by the dependence of the chain-transfer rate on alkene concentration. β -Hydride to monomer (path A) transfer will be first-order in alkene, whereas (assuming alkene insertion into the metal hydride is fast) path B will have a zero-order dependence on alkene.²⁸

The *sec*-butyl complex **5** was used as a model for the product of 2,1-misinsertion. β -Hydride elimination from this complex will produce Cp*₂YH and either 1-butene or 2-butene. We reasoned that the Cp*₂YH formed could be trapped by a reactive alkene present in large excess. This overall process would be akin to chain transfer. Indeed, **5** and 3-methyl-1-butene reacted at -60 °C to produce Cp*₂YCH₂CH₂CH₂CH(CH₃)₂ (**2**) in quantitative yield (Scheme 13). 2-Butene was the only byproduct; no 1-butene was observed. This is intriguing because the *sec*-butyl complex **5** has a β -agostic interaction with the methyl hydrogen and not with the ethyl hydrogen that is eliminated. Similarly, **5** and propene reacted at -60 °C to form Cp*₂YCH₂CH(CH₃)CH₂-CH₂CH₃ (**16**) in >95% yield.

⁽²⁸⁾ A thorough kinetic analysis shows that the rate of alkene liberation by β-hydride to metal elimination can have an apparent first-order dependence on [alkene] if formation of the secondary alkyl is rate-limiting: Liu, Z.; Somsook, E.; White, C. B.; Rosaaen, K. A.; Landis, C. R. J. Am. Chem. Soc. 2001, 123, 11193.



To determine whether chain-transfer mechanism A or B was dominant for yttrium alkyls, the rate of disappearance of 5 was monitored by ¹H NMR spectroscopy at two different alkene concentrations. At -78 °C, the rate of disappearance of 5 was $4.6(5) \times 10^{-4} \text{ s}^{-1}$ at 0.14 M propene and $5.5(5) \times 10^{-4} \text{ s}^{-1}$ at 2.04 M propene [$\Delta G^{\ddagger} = 14.2$ kcal mol⁻¹]. The rate of disappearance of 5 did not vary significantly over an >10-fold variation in propene concentration. The zero-order dependence on propene concentration is consistent with chain transfer occurring by path B involving β -hydride elimination to form Cp*₂YH, followed by insertion of a different alkene into the metal-hydride bond. Furthermore, the barrier for chain transfer from 5 (14.2 kcal mol⁻¹) is lower than the barrier expected for 2,1-insertion of propene.²⁹ Therefore, it seems likely that the rate-limiting step for vinylene formation with yttrium catalysts will be insertion and not β -hydride elimination.

In contrast to the *sec*-butyl complex **5**, which liberates 2-butene at -80 °C, the *n*-butyl complex **9** (~0.025 M) does not react with excess 3-methyl-1-butene (0.11 M) to liberate 1-butene (or 2-butene) even at -15 °C. There are several possible explanations for the failure of **9** to react with 3-methyl-1-butene. First, **9** may not β -hydride eliminate at -15 °C. Second, a Cp*₂YH(1-butene) complex might form reversibly, but not dissociate 1-butene. Third, Cp*₂YH and free 1-butene might form, but the Cp*₂YH may re-add 1-butene much faster than it reacts with 3-methyl-1-butene, so only **9** is observed.

To test this third possibility, (Cp*₂YH)₂ (0.07 M) was allowed to react with a 2:1 mixture of 3-methyl-1-butene (0.27 M) and propene (0.29 M). Cp*₂YCH₂CH(CH₃)CH₂CH₂CH(CH₃)₂ (7a) and Cp*2YCH2CH(CH3)CH2CH2CH3 (16) formed in a 1:1 ratio. Complex 7a is the product of the reaction of 3-methyl-1-butene with Cp*₂YH forming Cp*₂YCH₂CH₂CH(CH₃)₂ (2), which then reacts with propene. Similarly, 16 is formed by reacting propene with Cp*₂YH, followed by insertion of another molecule of propene. The fact that the products form in equal quantities indicates that Cp*2YH reacts only ~1.5 times faster with propene than with 3-methyl-1-butene.30 This experiment shows that if free 1-butene were being formed by β -hydride elimination from 9, it would have been observed along with Cp*₂YCH₂-CH₂CH(CH₃)₂. Therefore, either β -hydride elimination does not occur at all or it occurs to reversibly form Cp*₂YH(1-butene), which does not dissociate 1-butene.

The observation that $Cp*_2YH$ reacts nearly as fast with 3-methyl-1-butene as it does with propene is surprising considering that $Cp*_2YCH_2CH_2CH_2(CH_3)_2$ (2) reacts with propene

at -100 °C, but does not insert 3-methyl-1-butene even at 25 °C. Thus, while the reactivity of yttrium alkyls toward alkenes depends strongly on the structure of the alkene, the reactivity of yttrium hydride toward alkenes shows much less structural dependence.

Although β -hydride elimination from **9** has not been directly observed, there is evidence that related compounds undergo β -hydride elimination. When a solution of **2**- d_{β} was warmed to -5 °C, the rapid growth ($t_{1/2} \approx 5-10$ min) of a resonance at δ 5.72 in the ²H NMR spectrum was observed. This resonance is due to H₂C=CDCH(CH₃)₂, presumably formed by β -hydride elimination. Therefore, it is likely that *n*-butyl complex **9** is undergoing reversible formation of Cp*₂YH(1-butene) at similar temperatures, but does not dissociate 1-butene. A corollary is that when 1-butene coordinates to Cp*₂YH, it is irreversibly committed to formation of the *n*-butyl complex **9**.

Stereochemical Inversion at Yttrium. Stereochemical inversion at the metal center is an important process in the syndiospecific polymerization of alkenes by metallocene catalysts. The syndiospecificity observed for Ewen's C_s symmetric catalyst requires inversion at the metal center accompanying each monomer insertion and no inversion between insertions (Scheme 14). If site epimerization (the combination of rotation about the Zr–C bond and inversion of stereochemistry at zirconium) were competitive with insertion, many stereoerrors would have resulted.

Site epimerization at yttrium was addressed using Cp*₂YCH-(CH₃)CH₂CH₃ (**5**). The ¹H NMR spectrum of **5** at -90 °C showed two resonances for the diastereotopic Cp* ligands. Upon warming the solution, we found that these resonances broadened and eventually coalesced near -43 °C ($\Delta G^{\ddagger} = 11.4$ kcal mol⁻¹).³¹ The temperature-dependent line-broadening of the Cp* ligands of **5** gave activation parameters for site epimerization at yttrium: $\Delta H^{\ddagger} = 10.9(4)$ kcal mol⁻¹ and $\Delta S^{\ddagger} = 0(2)$ eu. Site epimerization interconverts the diastereotopic Cp* resonances of **5** and requires both inversion of stereochemistry at yttrium and 180° rotation about the Y–C bond (Scheme 15).

We previously measured a barrier of 7 kcal mol⁻¹ for site epimerization of the primary alkylyttrium complex Cp*₂YCH₂-CH₂CH₍CH₃)CH=CH₂ (**12-off**). The much higher barrier for site epimerization of a secondary alkyl (10.9 kcal mol⁻¹) is consistent with rate-limiting rotation about the secondary C-Y bond in the case of *sec*-butyl complex **5**. (The lower barrier for the primary alkyl **12-off** might be due to either inversion at Y or rotation about the primary C-Y bond.) Slow rotation about the C-Y bond was also observed for Cp*₂YCH(SiMe₃)₂, which exhibits a single TMS resonance and two Cp* resonances between -130 and 90 °C, indicating that rotation is always slow ($\Delta G_{rot}^{+} > 19$ kcal mol⁻¹) and inversion is always fast ($\Delta G_{inv}^{+} \le$ < 7.5 kcal mol⁻¹).

We attempted to observe an interchange of the environments of the diastereotopic Cp* ligands of the cyclopentylyttrium complex **4**, which requires only 120° rotation about the Y–C bond, but not inversion at yttrium (Scheme 15). However, only a single Cp* resonance was observed in the ¹H NMR spectrum of **6** at -100 °C. This is consistent with accidental degeneracy

^{(29) 2,1-}insertion is known to have a much larger barrier than 1,2-insertion (~13.5 kcal mol⁻¹) of propene into the growing polymer. The ΔH[‡] for 2,1-insertion is 3.3 kcal mol⁻¹ higher than that for 1,2-insertion with [*rac*-(C₂H₄(1-indenyl)₂)ZrMe][MeB(C₆F₅)₃].²⁷

⁽³⁰⁾ We cannot tell the exact reactivity ratio from this experiment because the concentration of propene changes at a different rate than the concentration of 3-methyl-1-butene.

⁽³¹⁾ The exact coalescence temperature was difficult to discern because substantial quantities of 9 formed by isomerization of 5 prevented proper determination.





of the Cp* chemical shifts, but we cannot exclude the possibility that 120° rotation about the Y–C bond is fast on the NMR time scale.

Discussion

The ground-state structures of d⁰-yttrocene alkyl complexes have agostic interactions of CH bonds with the electron-deficient yttrium center. Our data indicate that the β -CH₂ agostic interaction in primary alkyls is stronger than the α -CH₂ interaction. For secondary alkyls, the order of strengths of agostic interactions is β -CH₃ > β -CH₂ > α -CH. Interestingly, the observed temperature-dependent chemical shifts of Cp*₂-YCH₂CH₂CH(CH₃)₂ (**2**) indicate that the β -CH₂ agostic interaction is replaced by an α -CH₂ agostic interaction (or no agostic interaction) as the temperature is increased. Similar temperaturedependent behavior was observed by Jaffart for the trispyrazolylborate (Tp) niobium complex **17**, which exists as an equilibrium mixture of α - and β -agostic structures; the α -agostic complex is entropically favored by 6.5 eu (Scheme 16).¹⁷

The steric bulk of two β -alkyl substituents in complexes such as Cp*₂YCH₂CH(CH₃)₂ (**6**) destabilizes β -agostic interactions and causes a shift to a ground-state structure with an α -CH₂ agostic interaction. The steric dependence of agostic interactions was also observed by Jordan who reported that Cp*₂HfCH₂-CH(CH₃)₂(PMe₃)⁺ has an α -agostic interaction, whereas the sterically smaller complex (C₅H₄Me)₂HfCH₂CH(CH₃)₂(PMe)₃⁺ has a β -agostic interaction.³² Steric inhibition of β -agostic interactions may be a root cause of why propene polymerizations using bulky metallocenes (Cp*₂ZrR⁺) terminate primarily by β -methyl elimination rather than β -hydride elimination.³³ The inaccessibility of the β -hydrogen in the sterically crowded Cp*₂-ZrCH₂CH(CH₃)Pol⁺ may prevent β -hydride elimination, while the greater steric accessibility of a β -methyl group allows β -methyl elimination. Similarly, we have observed β -methyl elimination from the α -agostic complex Cp*₂YCH₂CH(CH₃)₂ (**6**) at 0 °C.^{18,20}

The equilibrium constants and thermodynamics of alkene binding to the primary alkyl yttrium complex $Cp*_2YCH_2CH_2$ - $CH(CH_3)_2$ (2) depend strongly on the structure of the alkene. A single allylic substituent on the alkene has a small effect on alkene binding, but a second allylic substituent has a large destabilizing effect. Thus, alkene binding to 2 falls in the order propene > 1-butene \approx 1-hexene \gg 3-methyl-1-butene, 2-methylpropene.

The rates of insertion of *n*-alkenes into yttrocene alkyl bonds do not depend on the chain length of the inserting alkene, but no insertion is seen for alkenes with two (3-methyl-1-butene) or three (3-methyl-1-butene) allylic alkene substituents. This reactivity order parallels the observed binding abilities of the alkenes.

Surprisingly, the propene binding to yttrium alkyls is largely independent of the nature of the alkyl ligand. Equilibrium constants for propene binding to n-, γ -substituted, β -substituted, and secondary alkyl yttrium complexes are essentially the same. However, this does not require that the binding energy of propene to each of the hypothetical nonagostic alkyls is the same. Alkene binding can be thought of as a two-step process proceeding via a hypothetical nonagostic alkyl intermediate. Each of the metal alkyl complexes has a different ground-state agostic interaction that is likely to be lost upon alkene complexation. The γ - and n-substituted complexes **2** and **3** have

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 β -agostic interactions that are stronger than either the α -agostic interaction in the β -substituted complex **6** or the β -agostic interaction in the cyclopentyl complex 4. The less crowded hypothetical nonagostic complexes related to 2 and 3 would be expected to bind propene more strongly than the more crowded nonagostic complexes 4 and 6. The breaking of a stronger agostic interaction is compensated for by stronger binding of propene to the hypothetical nonagostic alkyl. The net result is that propene binding is insensitive to the nature of the yttrium alkyl (Scheme 17).

In contrast, the nature of the alkyl group on yttrium has a large dominant effect on the rates of migratory insertion. While *n*-alkylyttrium complex **3** and γ -substituted alkylyttrium complex 2 have a barrier for alkyl migration to coordinated propene of 11-11.5 kcal mol⁻¹, the isobutylyttrium complex **6** has a significantly higher barrier of ~ 13.6 kcal mol⁻¹ for alkyl migration to coordinated propene. The barrier for migration of the cyclopentyl ligand of 4 could only be estimated as >15.4 kcal mol⁻¹. Thus, the ability of an alkyl group on yttrium to migrate to coordinated propene is *n*-alkyl $\approx \gamma$ -substituted \gg β -substituted $\gg \alpha$ -substituted.

Branching at the β -position of the yttrium-alkyl chain greatly retards reaction with alkenes. The second-order rate constant for propene insertion into $Cp*_2YCH_2CH(CH_3)_2$ (6) is ~200 times smaller than that for insertion into Cp*2YCH2CH2CH- $(CH_3)_2$ (2). This is one factor that helps to explain why yttrocene-catalyzed polymerization of propene, which produces a β -branched yttrium alkyl complex Cp*₂YCH₂CH(CH₃)R, is much slower than the polymerization of ethylene, which produces *n*-alkylyttrium complexes $Cp_2YCH_2CH_2R$. Other factors that we have not been able to experimentally verify because of the extremely high reactivity of ethylene are that ethylene is expected to bind to yttrium more strongly than propene and the rate of alkyl migration to coordinated ethylene is likely to be faster than that to coordinated propene.

The fact that the β -branched complex Cp*₂YCH₂CH(CH₃)₂ (6) binds propene as well as $Cp_2YCH_2CH_2CH(CH_3)_2$ (2) leads to the surprising conclusion that the 200-fold difference in reactivity between 2 and 6 is due to a slower rate of migration of the isobutyl group to propene. The origin of the kinetic barrier to migration of the isobutyl ligand is not well understood. We are considering two possibilities that differ in whether the transition state for alkyl migration to coordinated alkene has an α - or β -agostic interaction. Ziegler has carried out computational studies for two different mechanisms for ethylene insertion into the Y-C bonds of (H₂N)₂YCH₂CH₃ and (HO)₂-YCH₂CH₃.⁹ The two mechanisms are differentiated by whether the entrance channel of the alkene is on the "front-side" or "back-side" of the β -agostic interaction in the reacting yttrium



alkyl (Scheme 18). The "front-side" approach leads to a transition state stabilized by an α -agostic interaction, while the "back-side" approach leads to a transition state stabilized by a β -agostic interaction. Ziegler also notes that group 3 complexes, which easily adopt trigonal geometries, are more likely than their group 4 analogues to undergo back-side insertion involving a β -agostic interaction.

The more rapid reaction of propene with 2 than with 6 can be readily explained in terms of a "back-side" approach of propene and a transition state stabilized by a β -agostic interaction. Complex 2, which has a ground-state β -agostic interaction, inserts propene rapidly, while isobutyl complex 6, which has a reduced ability to form a β -agostic interaction and has a groundstate α -agostic interaction, inserts propene more slowly.

Alkene insertion mechanisms involving a transition state in which an α-agostic alkyl group migrates to a coordinated alkene have been proposed and are supported by isotope effect measurements.³⁴ For example, Bercaw has shown that Sc complex 18 undergoes insertion through an α -agostic-stabilized transition state (Scheme 19).^{35,36} Therefore, it is possible that vttrium alkyls also insert alkenes with α -agostic assistance. While a single β -alkyl substituent on the migrating α -agostic alkyl group can be easily directed away from the metal center, the presence of a second β -alkyl substituent on the migrating alkyl might encounter severe steric interactions with a Cp* ligand (Scheme 20).

In contrast to Cp₂ZrR⁺ alkene polymerization catalysts, Cp*₂-YR complexes polymerize ethylene, but not propene. Given the low barriers for insertion of propene into yttrocene alkyls, it

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⁽³⁶⁾ Consideration of the geometric requirements for this intramolecular cyclization indicates that only the "front-side" transition state is accessible.

might be expected that yttrium alkyls would be good propene polymerization catalysts. However, termination pathways such as β -CH₃ elimination, β -hydride elimination, and C–H activation are also facile for yttrocenes and limit their ability to produce polymer.³⁷ We have previously shown that, in the oligomerization of propene by Cp*₂YCH₂CH(CH₃)R, the barrier for propagation is approximately equal to the barrier for C–H activation to form inactive Cp*₂Y(η ³-CH₂CH(CH₂).²⁰

Experimental Section

Cp*₂**YCH**₂**CH**₂**CH**₂**CH**₃**(CH**₃**)CH**₂**CH**₃**(1).** 3-Methyl-1-pentene (0.054 mol) was condensed into an NMR tube containing (Cp*₂**Y**H)₂ (0.021 mmol) in methylcyclohexane- d_{14} (0.44 mL) at -196 °C. The tube was shaken intermittently at -78 °C, producing a pale-yellow solution of **1** (95 ± 5% yield based on CH₂TMS₂ internal standard). Complex **1** was stable below -30 °C and was analyzed without isolation. ¹H NMR (500 MHz, -80 °C, C₆D₁₁CD₃): δ 1.90 (s, C₅Me₅), 1.89 (CH, partially obscured by Cp*), 1.54 (br m, CHHCH₃), 1.44 (m, CHHCH₃), 0.91 (t, J = 7.5 Hz, CH₂CH₃), 0.81 (br d, J = 7 Hz, CHCH₃), 0.25 (br m, YCH₂), -0.04 (br m, YCH₂CHH), -0.19 (br m, YCH₂CHH). ¹³C NMR (125.7 MHz, -80 °C, C₆D₁₁CD₃): δ 116.5 (C₅Me₅), 45.6 (t, $J_{CH} = 109.1$ Hz, YCH₂CH₂), 40.7 (d, $J_{CH} = 127.0$ Hz, CH), 38.5 (td, $J_{CH} = 125.0$ Hz, $J_{YC} = 35.1$ Hz, YCH₂), 30.5 (t, $J_{CH} = 122.2$ Hz, CH₂CH₃), 20.0 (q, $J_{CH} = 125.9$, CHCH₃), 11.7 (q, $J_{CH} = 126.0$ Hz, CH₂CH₃), 10.8 (q, $J_{CH} = 126.0$ Hz, C₅Me₅).

Cp*₂**YCHCH**₂**CH**₂**CH**₂**CH**₂ (4). Cyclopentene (0.090 mmol) was measured using a monometer and added to (Cp*₂YH)₂ (11 µmol in 400 µL of methylcyclohexane-*d*₁₄) at −196 °C. This mixture was warmed to −40 °C and shaken to give a solution of 4 (80−95% yield based on CH₂(SiMe₃)₂ internal standard). ¹H NMR (500 MHz, −60 °C, C₆D₁₁CD₃): δ 1.88 (s, C₅Me₅), 1.80 (m, β-CHH), 1.79 (m, γ-CHH), 1.50 (m, γ-CHH), 1.00 (m, ↔ 1.8, YCH), 0.50 (m, ↔ 1.0, ↔ 1.5, ↔ 1.8, β-CHH). ¹³C{¹H} NMR (125.7 MHz, −60 °C, C₆D₁₁CD₃): δ 116.04 (s, C₅Me₅), 53.05 (d, J_{YH} = 39.7 Hz, YCH), 35.66 (↔ 0.50, ↔ 1.80, β-CH₂), 29.16 (↔ 1.50, ↔ 1.79, γ-CH₂), 10.85 (C₅(CH₃)₅). Spectral assignments were aided by DEPT-135, 1D TOCSY, ¹H COSY, and ¹H−¹³C HSQC experiments. COSY and HSQC correlations are indicated by ↔.

Cp*₂YCH(CH₃)CH₂CH₃ (5). We have previously described the preparation of 5 from (Cp*2YH)2 and cis- or trans-2-butene. Complex 5 was produced in varying quantities along with 9, the product of isomerization. Now we have found that 5 can be prepared in greater overall purity using (Cp*2YH)2 produced from samples of Cp*2YCH-[Si(CH₃)₂]₂ that were not recrystallized. Evidently, a small amount of residual THF accelerates insertion of alkenes, while isomerization is unaffected.³⁸ ¹H NMR (500 MHz, $-60 \degree C$, $C_6 D_{11} C D_3$): $\delta 0.32$ (d, ${}^{3}J_{HH}$ = 8.0 Hz, \leftrightarrow 0.68, YCHCH₃), 0.68 (br, \leftrightarrow 0.32, \leftrightarrow 1.68, YCH), 0.76 $(m, \leftrightarrow 1.68, \leftrightarrow 1.78, CH_2CH_3), 1.68 (m, \leftrightarrow 0.76, \leftrightarrow 0.68, CHHCH_3),$ 1.78 (m, \leftrightarrow 0.76, CHHCH₃), 1.86 (C₅(CH₃)₅), 1.89 (C₅(CH₃)₅). ¹³C-{¹H} NMR (90 MHz, $-60 \degree C$, $C_6 D_{11} C D_3$): δ 17.13 (\leftrightarrow 0.76, $C H_2 C H_3$), 23.64 (↔ 0.32, YCHCH₃), 28.62 (↔ 1.68, ↔ 1.78, CH₂), 44.88 (d, $J_{\rm YC} = 36$ Hz, $\leftrightarrow 0.68$, YCH). Spectral assignments were aided by DEPT-135, 1D TOCSY, ¹H COSY, and ¹H-1³C HSQC experiments. COSY and HSQC correlations are indicated by ↔.

Kinetics of Propene Insertion into the Y-C Bond of Cp*2YCH2CH(CH3)2 (6). Cp*2YCH2CH(CH3)2 (6) (0.02 mmol) was prepared by the reaction of isobutylene with (Cp*₂YH)₂ in a mixture of methylcyclohexane- d_{14} (0.34 mL) and pentane- d_{12} (0.17 mL) as previously described.^{5a} Propene (500 mm, 3.04 M) was condensed into the solution at -196 °C. The solution was shaken at -130 °C, and then warmed to -100 °C in the NMR probe. The disappearance of 6 was monitored by following the decrease in the ¹H NMR resonances at δ 1.92 (Cp*) and 0.67 (d, ${}^{3}J_{\text{HH}} = 6.5$, CH(CH₃)₂). Initially, a single product formed that we tentatively assign as Cp*2YCH2CH(CH3)-CH₂CH(CH₃)₂ (8). Because of its transient nature, we were only able to obtain partial ¹H NMR characterization of 8 by TOCSY 1D NMR. ¹H NMR (500 MHz, -60 °C, C₆D₁₁CD₃): δ 1.88 (s, C₅Me₅), 1.68 (\leftrightarrow 0.29, \leftrightarrow -0.24, YCH₂CH), 1.05 (m), 0.87 (d, J = 6.7 Hz, CH(CH₃)₂), 0.29 (br d, $J \approx 6$ Hz, \Leftrightarrow 1.68, YCH₂CH(CH₃)), -0.24 (m, YCH₂).

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Supporting Information Available: Experimental procedures, spectroscopic data, and kinetic data (PDF). This material is available free of charge via the Internet at http://pubs.acs.org.

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